

GLOBAL SHARE ALLIANCE

PROVIDING INTEGRATED REGISTRY, CAPITAL MARKET AND RELATED SERVICES ACROSS DIVERSE GLOBAL MARKETS.

- American Stock Transfer & Trust Company, LLC
- Canadian Stock Transfer Company Inc.
- Equiniti Limited
- Link Market Services Limited
- Tricor Investor Services Limited
- Wells Fargo Shareowner Services



GLOBAL SHARE ALLIANCE

A GLOBAL BUSINESS NEEDS A GLOBAL SOLUTION

GLOBAL SHARE ALLIANCE

The GSA provides global companies with a first class integrated registry and related services across diverse equity markets around the world.

The Global Share Alliance (GSA) was formed in June 2011 to provide enhanced services to international corporations.

Members include American Stock Transfer and Trust Company, LLC (AST), Canadian Stock Transfer Company Inc. (CST), Equiniti Limited (Equiniti), Link Market Services (Link), Tricor Investor Services Limited (Tricor) and Wells Fargo Shareowner Services (Wells Fargo).

Technology Leadership

The GSA expertise is founded on a history of pioneering technology to deliver tailored client solutions. We remain committed to leveraging and developing new technology across diverse jurisdictions in an ever changing market to enhance practices and drive investor behaviour.

The peripheral systems that underpin our premium service are modular, flexible and subject to best practice business continuity processes.

The GSA offering is based on:

- Market leading technology;
- Best practice risk management; and an
- Ongoing commitment to innovation

First Class Account Management

The GSA premium account management model incorporates global service integration and local client relationship management to deliver comprehensive coverage for clients and their shareholders. A team will be assembled with significant global registry experience and based on your unique requirements.

A GSA Relationship Lead will be appointed to provide for your daily business requirements and will coordinate global interactions through the appropriate Client Relationship Managers in each jurisdiction. The GSA Relationship Lead will develop a clear annual “work plan” with you to reflect your corporate events calendar and provide optimum support.

The GSA represents in excess of **40 million investor records**, processes more than **\$200 billion in payments** per annum and provides services to more than **5,000 companies** worldwide.

The GSA provides services in Australia, Brunei, Canada, Hong Kong, India, Malaysia, New Zealand, Papua New Guinea, Singapore, South Africa, the United Kingdom and the United States.

Capital Markets Expertise

The GSA has the resources, technology and capacity to successfully deliver the services required for any corporate action or capital management assignment. We will work in partnership with you and your advisers to meet any of your future capital raising and strategic objectives. We have extensive international experience, working across multiple jurisdictions around the world.

The GSA members have successfully managed hundreds of corporate actions and developed effective relationships with a range of service providers including investment banks, legal advisers, banking service providers, telephone information services, graphic designers, technology consultants and many more. Our track record of successfully executing complex, time critical transactions makes us the capital markets partner of choice around the globe.

Online Capabilities

The GSA is at the forefront of employing online solutions for capital management projects. All online services are fully integrated with core registry systems, providing seamless interaction with acceptance tracking as well as facilitating real-time reporting. Highly reliable, the system design is scalable and optimised for high volume online transaction processing.

Value Add Services

The GSA provides a wide range of first class value add services. We take a proactive approach to registry management, refining processes and developing value add services to deliver greater efficiencies and cost savings to our clients.

Some of our extensive value add services include:

- **Global Employee Plan Services** – including administration, brokering and trustee services;
- **Shareholder Analytics** – including shareholder analysis, investor relations services, quantitative analysis, broker analysis and advisory services;
- **Capital Markets / Corporate Actions** – comprehensive capital markets services for domestic and global assignments of all types and sizes
- **Company Secretarial Services** – including advisory, trustee services, compliance, directors meetings, statutory reporting and governance services;
- **Investment Services** - including share trading services, custody and settlement services for custodians;
- **Business Process Outsourcing** – including human resources, payroll, meetings and administration services; and
- **Pension and Superannuation Services** – including administration, trustee, contact centre and advisory services.



AST is the largest independent stock transfer agent in the United States. Based in New York, with multiple offices spanning the U.S., AST provides stock transfer and employee plan services to over 2,800 public companies and maintains approximately 6,000 issues. The North American division of the Link Group is formed by the partnership of CST and AST. Together, AST and CST provide services to more than 8,000 public issues and over 5.5 million shareholders throughout North America and in over 22 foreign countries.



CST is a leading provider of registry services. Headquartered in Canada, with offices in Toronto, Montreal, Calgary, Halifax and Vancouver, CST provides issuers global solutions through local access points. Together with its partner AST, CST provides comprehensive stock transfer and employee plan services to more than 8,000 public issues and over 5.5 million shareholders, ranging in size from initial public offerings to Fortune 100 companies.



Equiniti is the UK's leading registrar with over 50% of the FTSE100, and 40% of the FTSE 250 covering over 18 million investor accounts. With in excess of 2,200 corporate clients, both in the public and private sector, Equiniti manages over £700bn worth of funds and processes over £100bn in payments every year. One in three people in the UK touches part of the Equiniti Limited.



Link is the premium provider of registry and financial services and technology to financial market participants in Australia, New Zealand, South Africa, India and Papua New Guinea. With approximately fifteen million holder records under management, Link provides services to over 80 of the S&P/ASX Top 100. Link manages records for in excess of \$500bn in investments and processes in excess of \$60bn in payments per annum.



Tricolor and associated companies are collectively a leading registrar in Hong Kong. Tricolor's client portfolio includes the majority of companies listed on the Hong Kong Stock Exchange, more than 1,400 companies listed in Hong Kong, Singapore, Malaysia and Brunei, over 35% of the Fortune 500 companies, as well as a significant share of multinationals and private enterprises operating across international markets.



Wells Fargo is the stock transfer agent for over 850 corporations, representing more than 9.2 million shareholders in the US. Wells Fargo provides a full array of stock transfer and record keeping services including dividend disbursement and dividend reinvestment plans, direct stock purchase and employee stock purchase plans, proxy tabulation, and shareholder meeting services.

www.globalsharealliance.com

American Stock Transfer & Trust Company, LLC

North America

(888) 267 8625
 www.amstock.com

Thomas G. Kies
Executive Vice President
 Tkies@amstock.com

Link Market Services Limited

Australasia, Asia and Africa

(612) 8280 7100
 www.linkmarketservices.com.au

James Newman
General Manager
 james.newman@linkmarketservices.com.au

Peter Drew
Client Relationship Group
 peter.drew@linkmarketservices.com.au

Canadian Stock Transfer Company Inc.

North America

(888) 402 1644
 www.canstockta.com

Laurel Savoy
Senior Vice President
 Lsavoy@canstockta.com

Tricolor Investor Services Limited

Asia

(852) 2980 1888
 www.hk.tricorglobal.com

Allan Tong
Executive Director & Head of Investor Services
 allan.tong@hk.tricorglobal.com

Ricky Lee
Director – Investor Services
 ricky.lee@hk.tricorglobal.com

Equiniti Limited

Europe

(44) 0207 469 1800
 www.equiniti.com

John Parker
Managing Director
 john.parker@equiniti.com

Paul Matthews
Managing Director Corporate Markets
 paul.matthews@equiniti.com

Wells Fargo Shareowner Services

North America

(917) 260 1565
 www.wellsfargo.com/shareownerservices

Scott Nelson
Senior Vice President
 Scott.h.nelson@wellsfargo.com

Joseph Connor
Vice President
 Joe.f.connor@wellsfargo.com